


09/975,211

<b>FORM PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office  <b>LIST OF DOCUMENTS CITED BY APPLICANT</b>  (Use several sheets if necessary)	Attorney Docket Number: 5470-316  <div style="text-align: center; font-size: 2em;">#4</div>	Serial No. To be assigned <div style="text-align: center;">             09/975,211         </div>
Applicants: DeSimone et al.		
Filing Date: <del>Concurrently herewith</del> <div style="text-align: center; font-size: 1.5em;">October 10, 2001</div>		Group To be assigned 1750

U. S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
nm	1.	5,665,527	09/09/97	Allen et al.	430	325	
	2.	5,523,163	06/04/96	Ballance et al.	428	446	
	3.	5,116,637	05/26/92	Baney et al.	427	340	
	4.	5,547,703	08/20/96	Camilletti et al.	427	126.3	
	5.	5,262,201	11/16/93	Chandra et al.	427	376.2	
	6.	6,231,989	05/11/01	Chung et al.	428	447	
	7.	5,739,223	04/14/98	DeSimone	526	89	
	8.	6,001,418	12/14/99	DeSimone et al.	427	240	
	9.	6,045,877	04/04/00	Gleason et al.	427	522	
	10.	4,749,631	06/07/88	Haluska et al.	428	704	
	11.	4,756,977	06/12/88	Haluska et al.	428	704	
	12.	4,847,162	06/11/89	Haluska et al.	428	457	
	13.	4,842,888	06/27/89	Haluska et al.	427	38	
	14.	4,636,440	01/13/87	Jada	428	446	
	15.	6,074,695	06/13/00	Kobayashi et al.	427	245	
	16.	5,618,878	04/08/97	Skytich et al.	524	588	
	nm	17.	5,753,305	05/19/98	Smith et al.	427	335
nm	18.	6,024,801	02/15/00	Wallace et al.	134	1	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes   No
<div style="font-size: 2em;">m</div> <div style="font-size: 2em;">m</div> <div style="font-size: 2em;">m</div>	19.	WO 98/46526	10/22/98	PCT		
	20.	WO 98/47177	10/22/98	PCT		

EXAMINER W. J. G. [Signature]

\*EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

9/10/03

nm	21.	W0 98/49721	11/05/98	PCT	<del>_____</del>	<del>_____</del>	
	22.	WO 00/67072	11/09/00	PCT	<del>_____</del>	<del>_____</del>	
	23.	EP 0 775 669 A2	05/28/97	EPO	<del>_____</del>	<del>_____</del>	
	24.	EP 0 869 516 A1	07/10/98	EPO	<del>_____</del>	<del>_____</del>	
	25.	EP 0 869 515 A1	07/10/98	EPO	<del>_____</del>	<del>_____</del>	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	26.	Bae et al., "Imageable Polymers Using Fluorocarbonol Containing Polydienes", <i>Polymer Preprints</i> , 41(2), 1586-1587, (2000).					
	27.	Gabor et al., "Block and Random Copolymer Resists Designed for 193 nm Lithography and Environmentally Friendly Supercritical CO <sub>2</sub> Development", <i>SPIE</i> , 2724, 410-417, (1996).					
	28.	Glaser et al., "Effect of the H <sub>2</sub> O/TEOS Ratio Upon The Preparation and Nitridation of Silica SOL/GEL Films", <i>Journal of Non-Crystalline Solids</i> , 63, 209-221, (1984).					
	29.	Hoggan et al., "Spin Coating and Photolithography Using Liquid and Supercritical Carbon Dioxide", <i>Polymeric Materials and Science Engineering - Fall Meeting, New Orleans, Louisiana</i> , 81, 47-48, (1999).					
	30.	Meerholz et al., "Stability Improvements of High-Performance Photorefractive Polymers Containing Eutectic Mixtures of Electro-optic Chromophores**", <i>Adv. Matr.</i> , 9(13), 1043, (1997).					
	31.	Ober et al., "Imaging Polymers with Supercritical Carbon Dioxide**", <i>Adv. Mater.</i> , 9(13), 1039-1042, (1997).					
	32.	Sundararajan et al., "Supercritical CO <sub>2</sub> Processing for Submicron Imaging of Fluoropolymers", <i>Chem. Mater.</i> , A-H, (1999).					
	33.	Weibel et al., "Patternable Low-K Dielectrics Developed Using Supercritical CO <sub>2</sub> ", <i>Polymer Preprints</i> , 41(2), 1838, (2000).					
af	34.	Yang et al., "Low-Surface-Energy Fluoromethacrylate Block Copolymers with Patternable Elements", <i>Chem. Matr.</i> , 12(1), 33-40, (2000).					
nm	35.	Yu et al., "Microfabrication of Hydrogels as Polymer Scaffolds for Tissue Engineering Applications", <i>Polymer Preprints</i> , 41(2), 1699-1700, (2000).					

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Attorney Docket Number

5470-316

Serial No.

09/975,211

Applicants: DeSimone et al.

Filing Date: October 10, 2001

Group: 2812

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	1.	5,665,527	09/09/97	Allen et al.	430	325	
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	3.	6,045,877	04/04/00	Gleason et al.	427	522	
ny	4.	6,165,559	12/26/00	McClain et al.	427	388.1	

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation Yes   No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

ny	5.	International Search Report for PCT/US01/31504 dated November 30, 2001.					

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